SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5 obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number: 3235-0287									
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edia per response.	0.0
orting Person(s) to Issuer	

5. Relationship of Repo (Check all applicable) 2. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person* FAIR ISAAC CORP [FICO] **KELLY BRADEN R** Director 10% Owner х Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) below) below) (Middle) (Last) (First) 02/06/2020 **181 METRO DRIVE** 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Х Form filed by One Reporting Person SAN JOSE 95110 CA Form filed by More than One Reporting Person (City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	2. Transaction	1	1		1						
1. Title of Security (Instr. 3)	Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Dispo Code (Instr. 5)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	02/06/2020		М		3,966	A	\$52.59	16,253	D		
Common Stock	02/06/2020		М		371	A	\$52.59	16,624	D		
Common Stock	02/06/2020		S		4,337	D	\$415	12,287	D		
Common Stock	02/07/2020		М		4,000	A	\$52.59	16,287	D		
Common Stock	02/07/2020		S		2,000	D	\$415	14,287	D		
Common Stock	02/07/2020		S		2,000	D	\$417	12,287	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Qualified Stock Options (right to buy)	\$ 52.59	02/06/2020		М			3,966	02/11/2014 ⁽¹⁾	02/10/2021	Common Stock	3,966	\$0	0	D	
Non- Qualified Stock Options (right to buy)	\$ 52.59	02/06/2020		М			371	02/24/2015 ⁽²⁾	02/10/2021	Common Stock	371	\$0	6,676	D	
Non- Qualified Option (right-to- buy)	\$ 52.59	02/07/2020		М			4,000	02/24/2015 ⁽²⁾	02/10/2021	Common Stock	4,000	\$0	2,676	D	

Explanation of Responses:

1. This option vested in full upon the date of grant.

2. This option vested in full upon this date.

Remarks:

/s/Carrie H. Darling, Attorney-02/10/2020

in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.